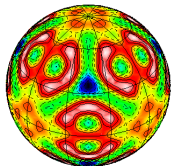


# Optical Integral Differences: Kinetic Energy Savings or a Cut-off Effect?

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Norman and Chubukov, Phys Rev B 73, 140501 (2006)



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## Optical Integrals

$$\int d\omega \operatorname{Re} \sigma(\omega) = \omega_{\text{pl}}^2/8$$

Sum all bands  $\rightarrow$  proportional to  $n/m$  (f sum rule)

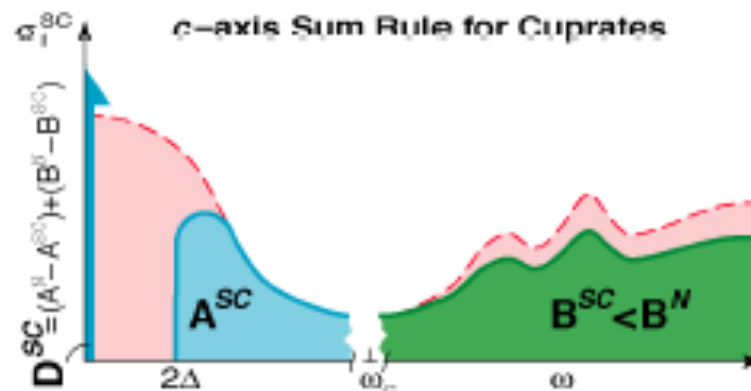
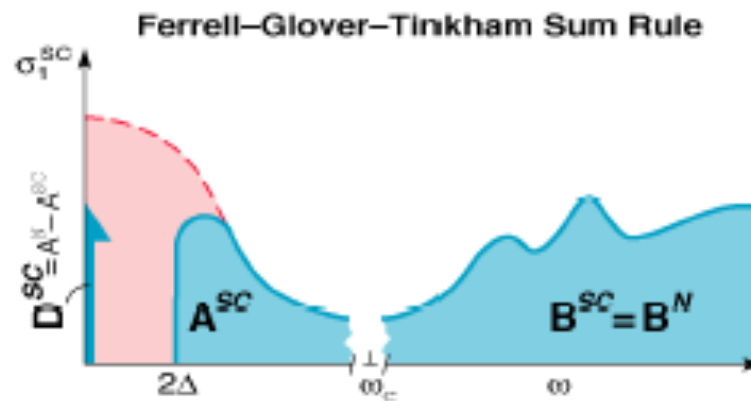
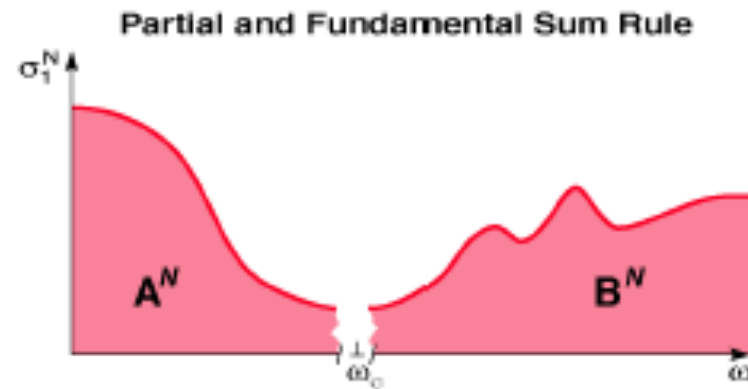
Single band only  $\rightarrow$  proportional to  $E_K$

$$E_K = \sum_k (\partial^2 \varepsilon_k / \partial k^2) n_k$$

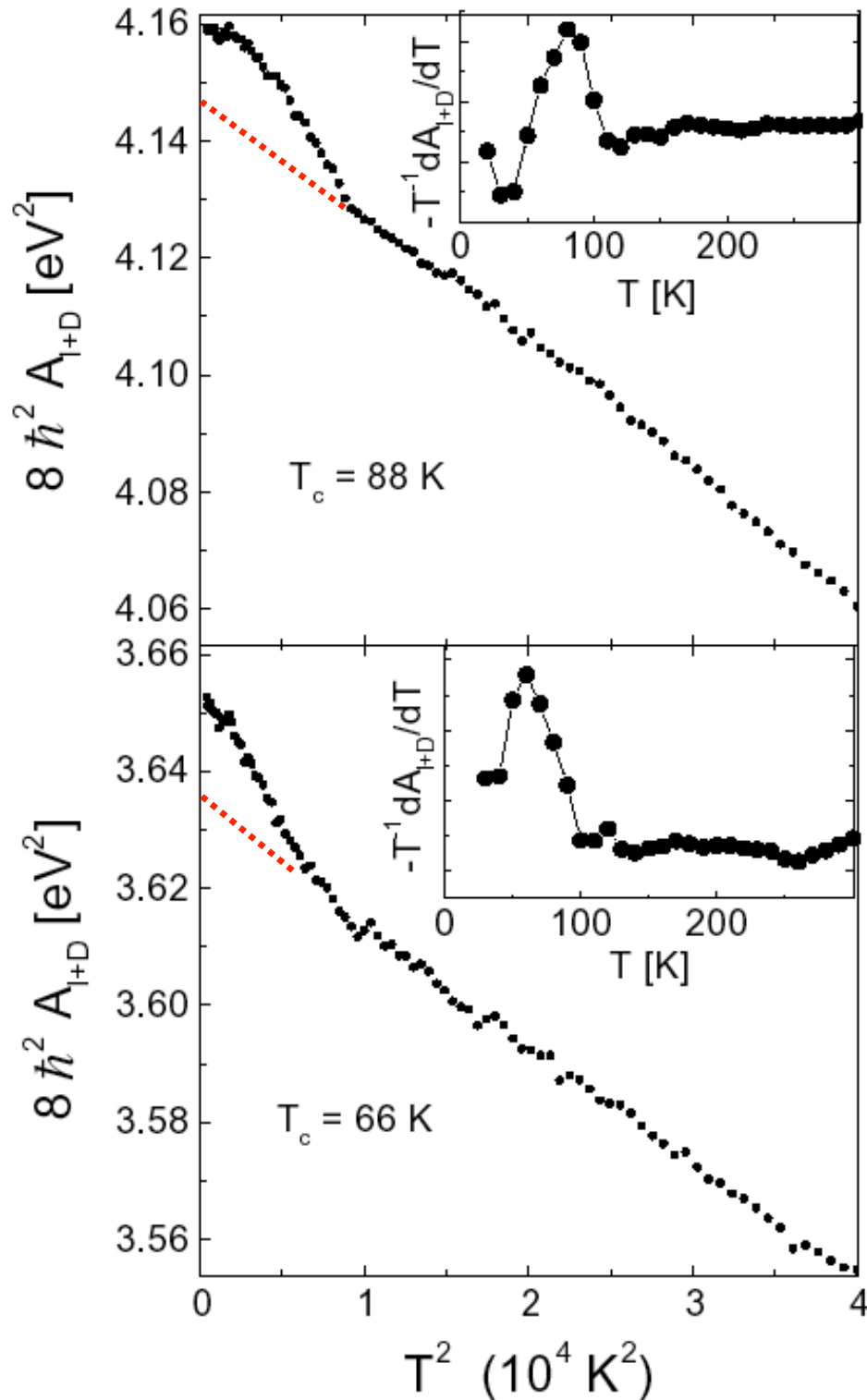
$$E_{\text{kinetic}} = \sum_k \varepsilon_k n_k$$

In general,  $E_K$  is *not* a conserved quantity, though it is for a parabolic dispersion -  $k^2/2m^*$

# Sum Rule - Klein and Blumberg, Science 283, 42 (1999)

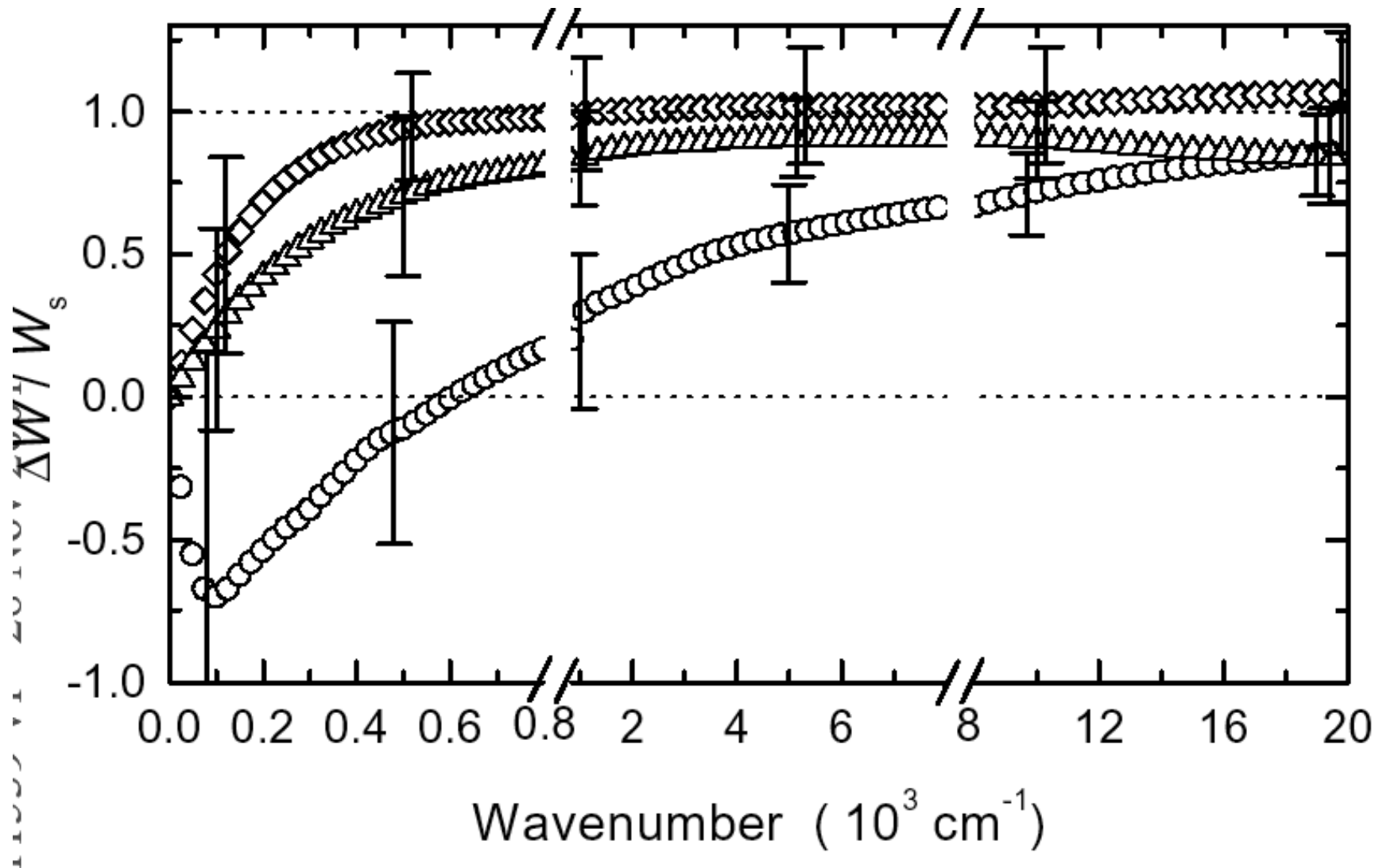


An increase is observed in the optical weight below  $T_c$  relative to the extrapolated behavior from above  $T_c$

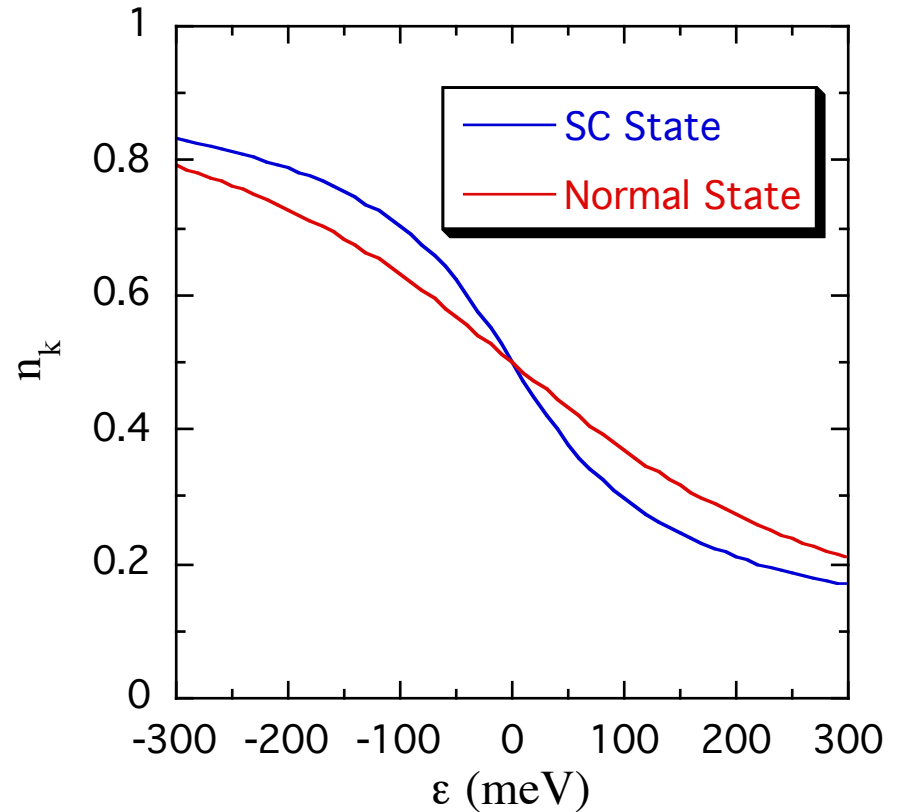
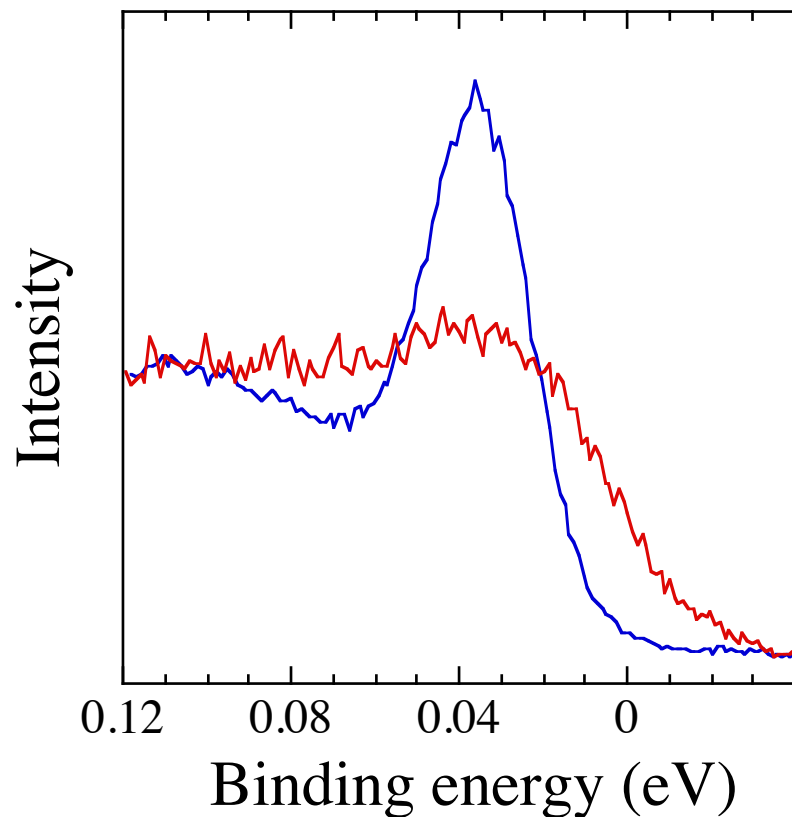


Molegraaf & van der Marel  
Science 295, 2239 (2002)

A similar effect has been seen in Bi2212 by the ESPCI group  
Santander-Syro, Lobo, Bontemps, Europhysics Letters 62, 568 (2003)

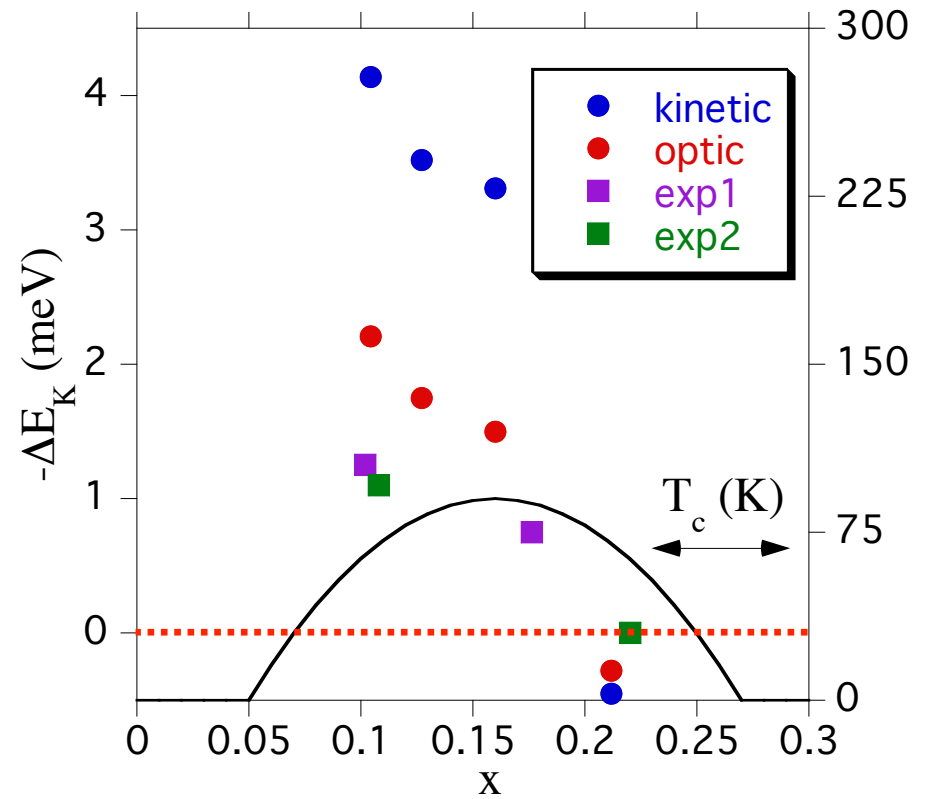
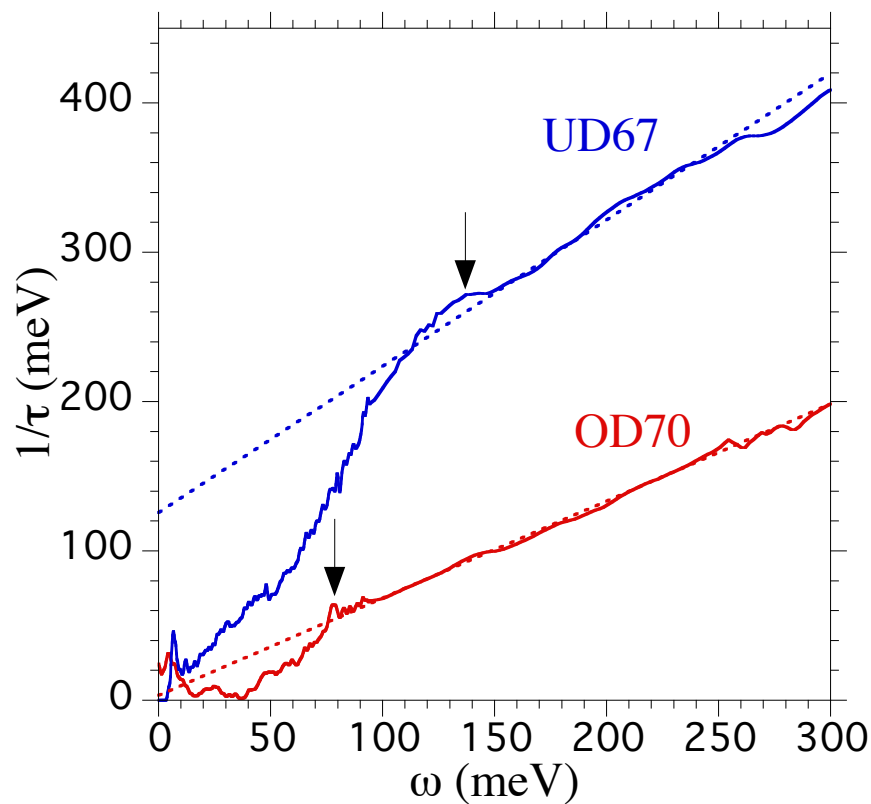


The onset of coherence below  $T_c$  as observed in ARPES would imply that the resulting gain in kinetic energy could exceed the loss of kinetic energy due to pair formation



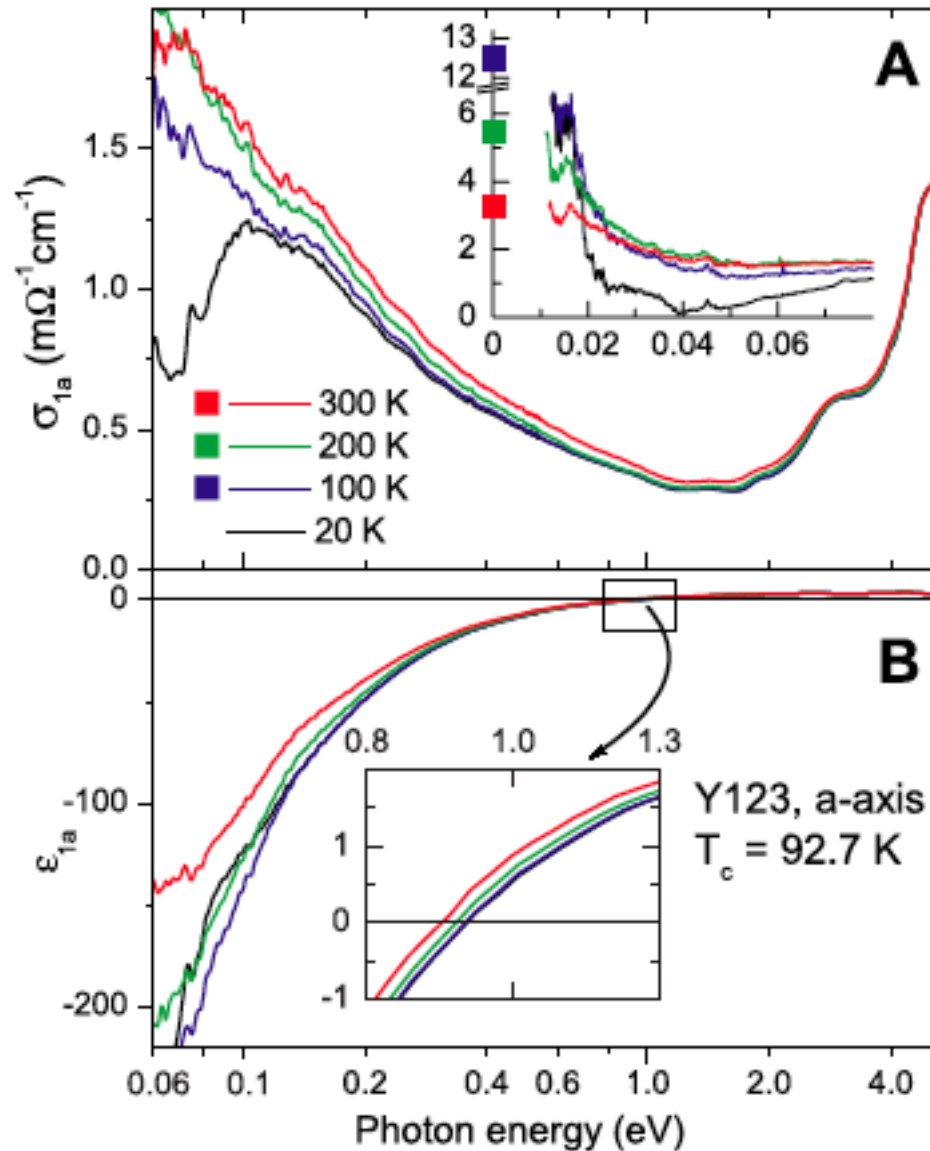
Norman, Randeria, Janko, Campuzano, Phys Rev B 61, 14742 (2000)

Detailed calculations of the optical integral and the kinetic energy based on a self-energy with a scattering rate gap below  $T_c$  confirm this picture

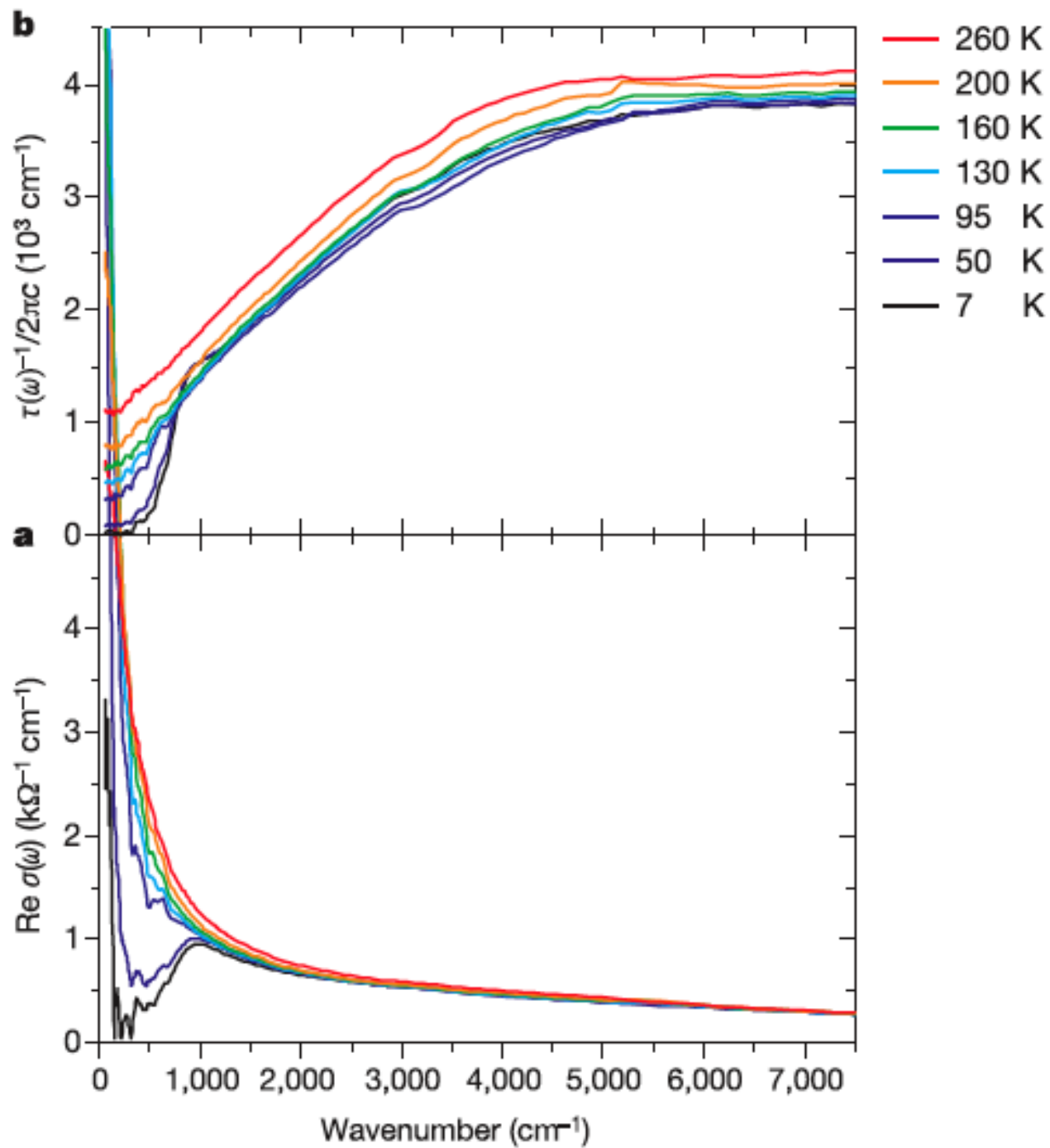


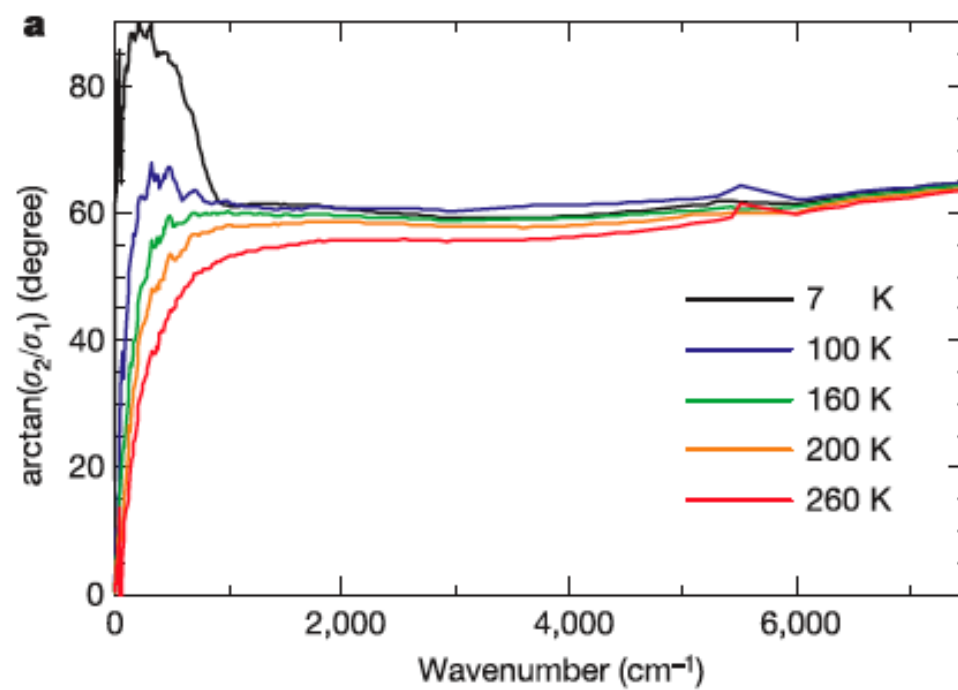
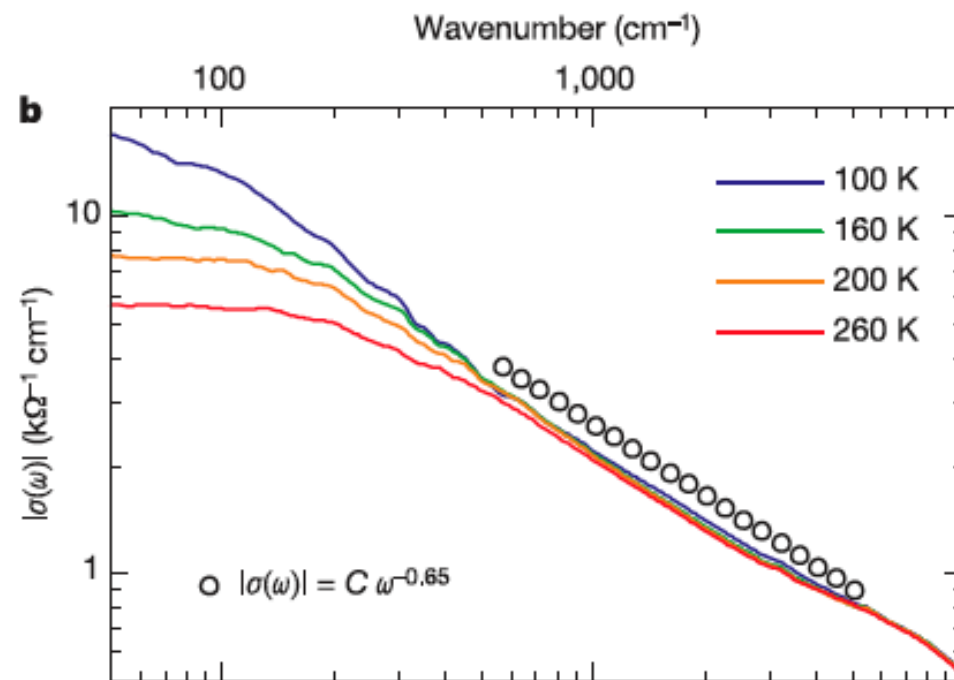
Norman and Pepin, Phys Rev B 66, 100506 (2002)

But Boris *et al.* - [Science 304, 708 \(2004\)](#) - claim spectral weight loss below  $T_c$  (that is, kinetic energy loss)









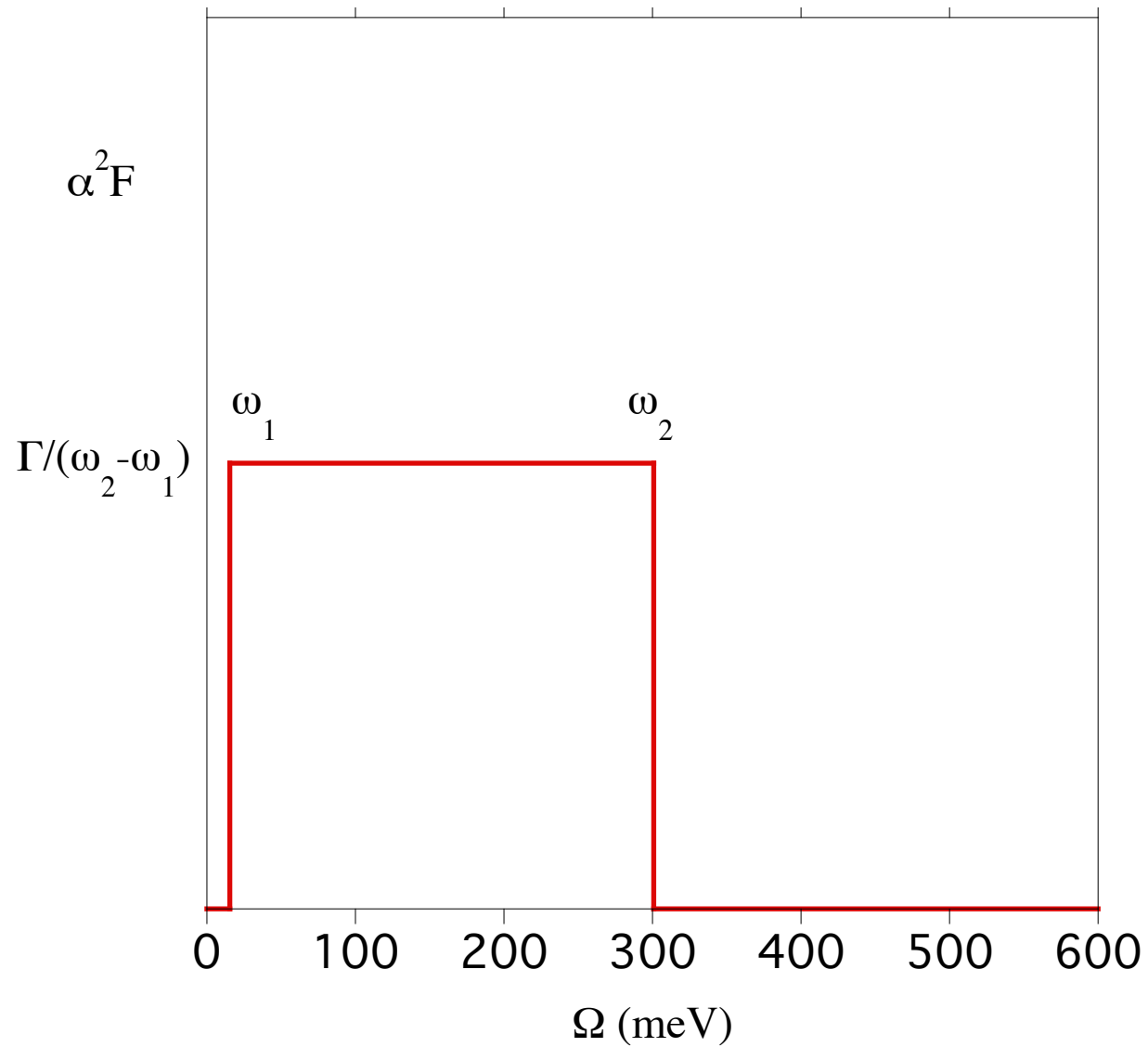
$$\sigma(\omega) = \frac{\omega_{pl}^2}{4\pi} \int d\epsilon \frac{f(\epsilon) - f(\omega + \epsilon)}{i\omega} \frac{1}{-\omega - \Sigma^*(\epsilon) + \Sigma(\omega + \epsilon)}$$

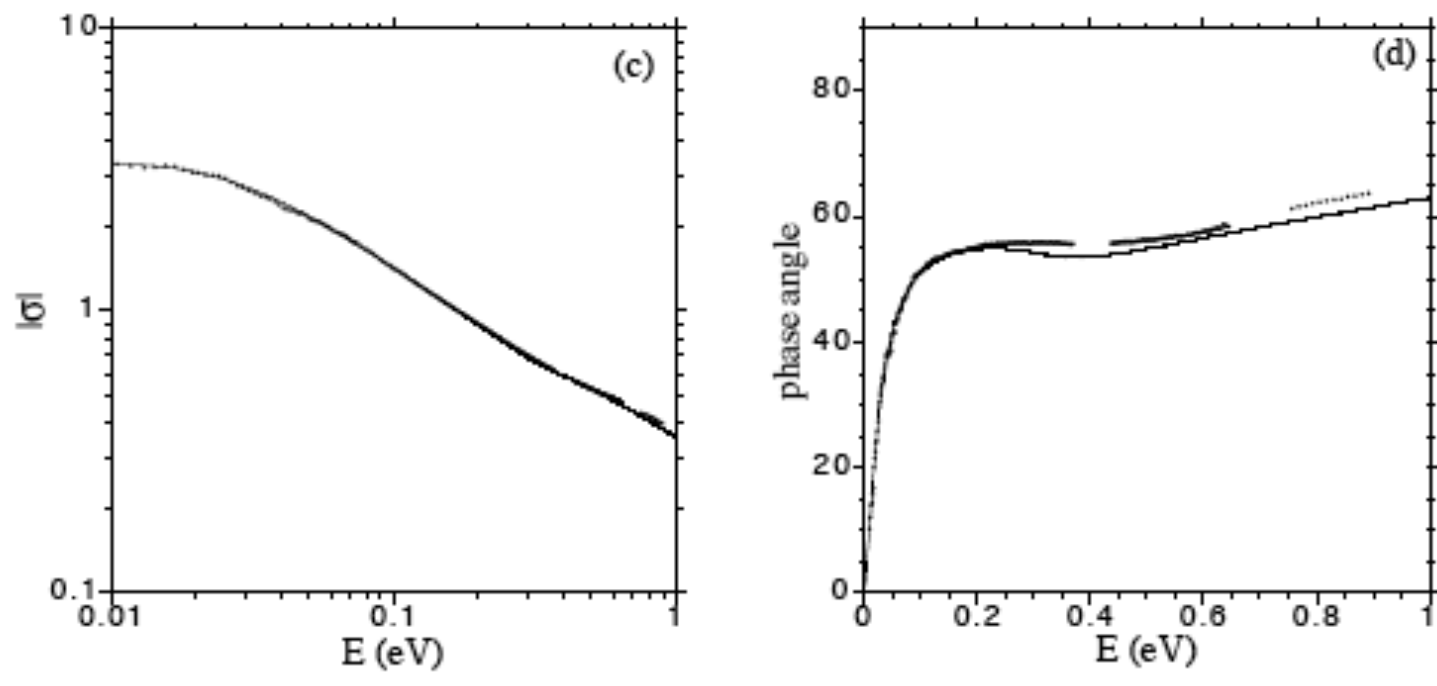
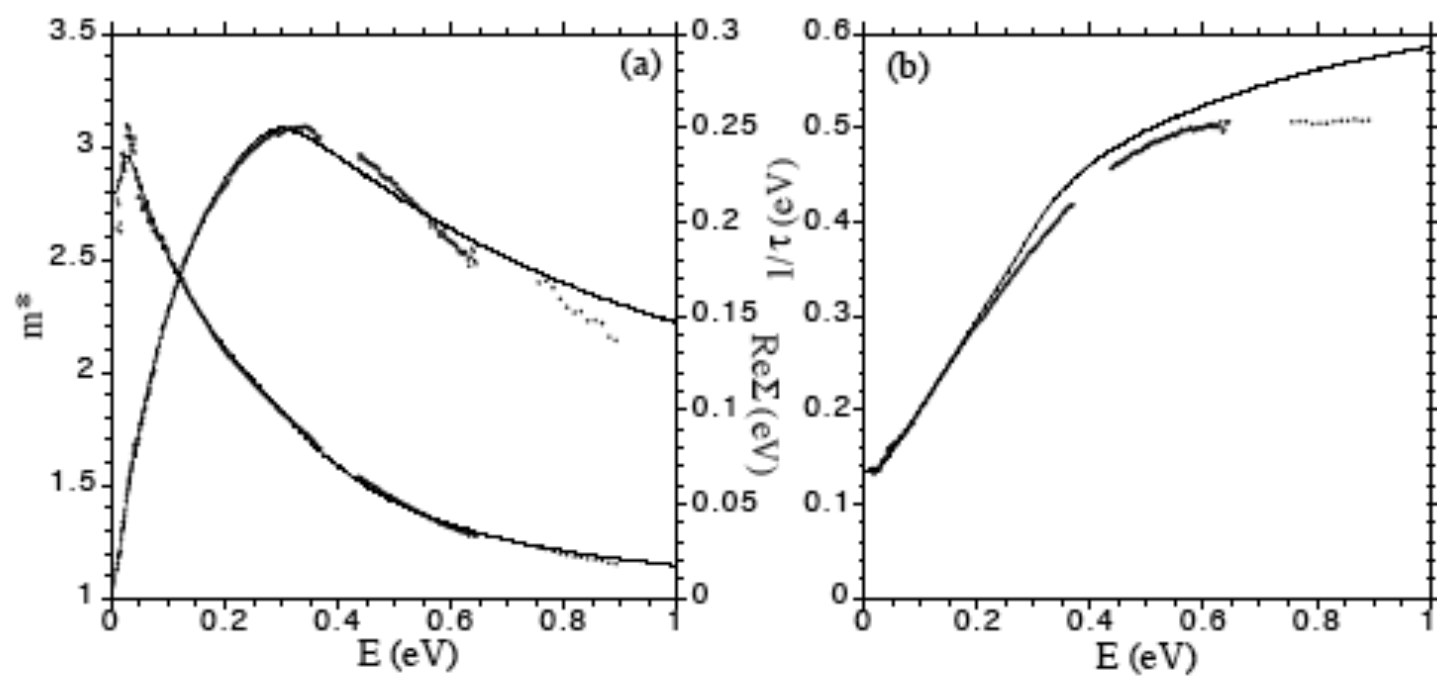
$$\Sigma(\omega) = \int \frac{d\Omega}{\pi} \int d\epsilon \alpha^2 F(\Omega) \frac{n_B(\Omega) + f(\epsilon)}{\omega - \epsilon + \Omega + i\delta}$$

$$\sigma(\omega) = \frac{\omega_{pl}^2}{4\pi} \frac{1}{1/\tau(\omega) - i\omega m^*(\omega)}$$

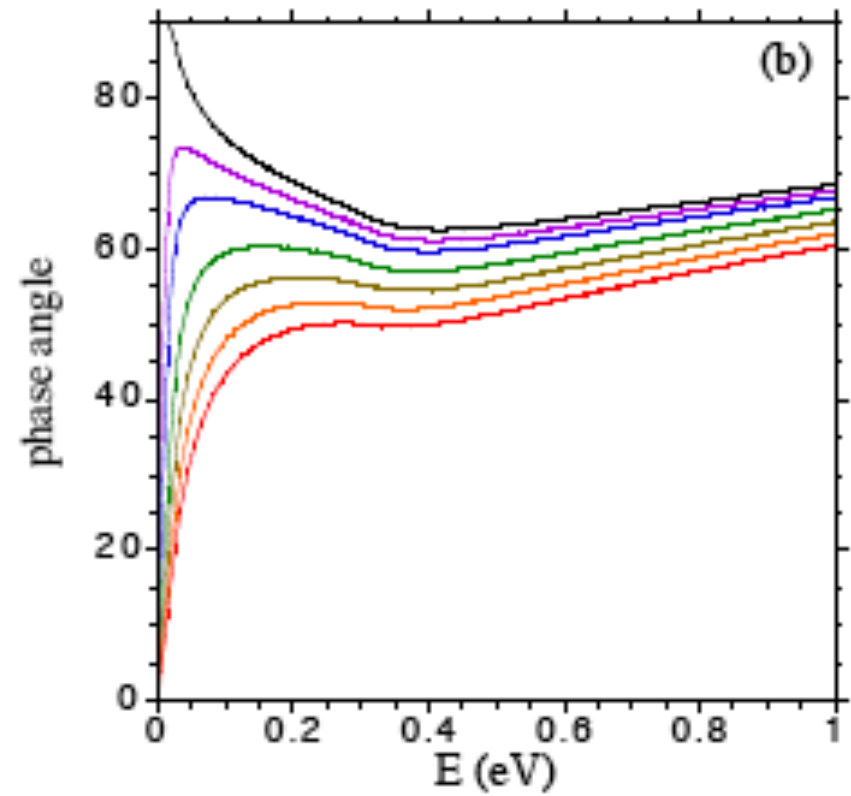
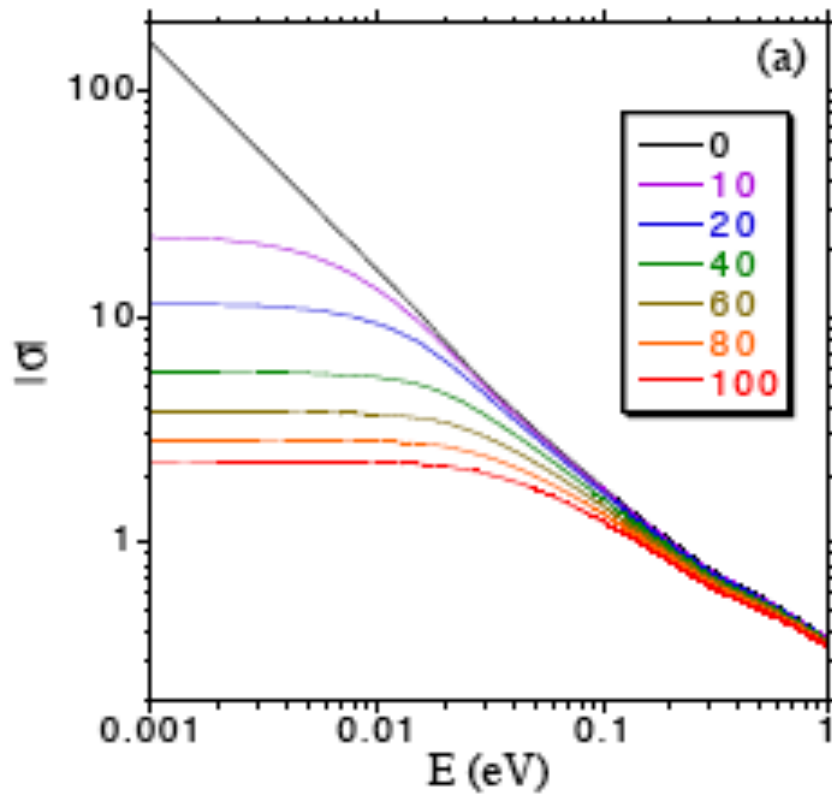
$$1/\tau(\omega) = \frac{1}{\omega} \int_0^\infty d\Omega \alpha^2 F(\Omega) [2\omega \coth(\frac{\Omega}{2T}) - (\omega + \Omega) \coth(\frac{\omega + \Omega}{2T}) + (\omega - \Omega) \coth(\frac{\omega - \Omega}{2T})]$$

## Example - “Gapped Marginal Fermi Liquid”

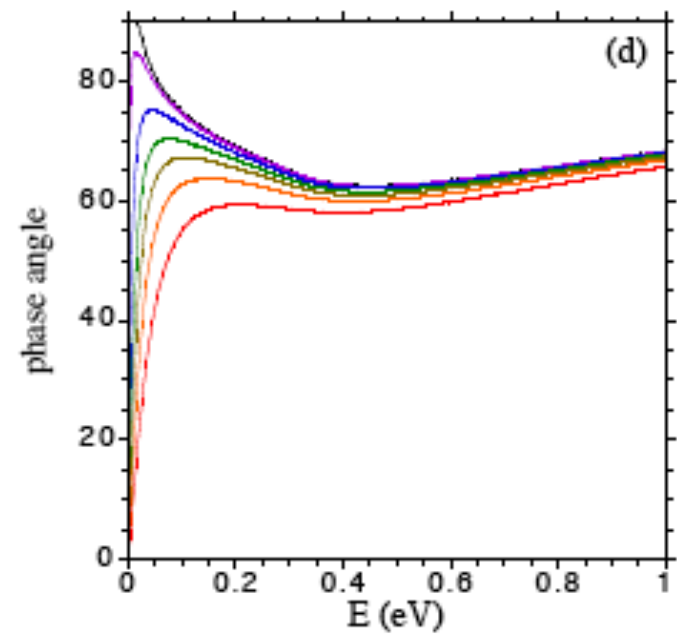
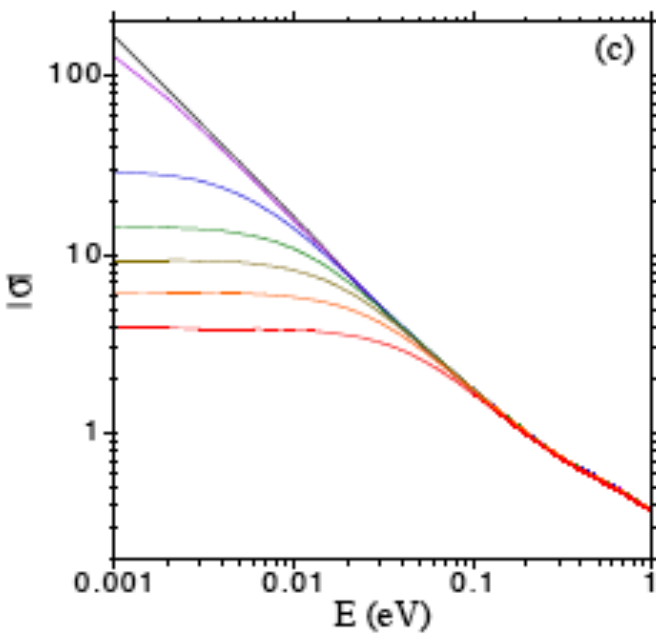
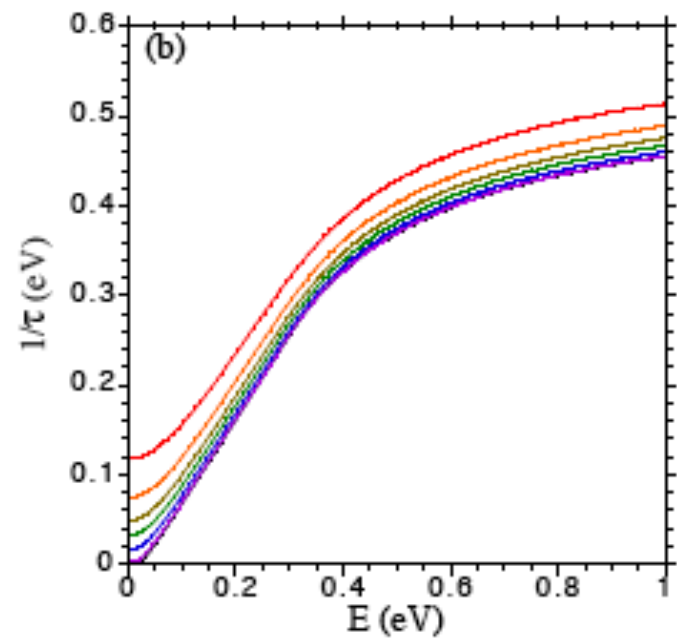
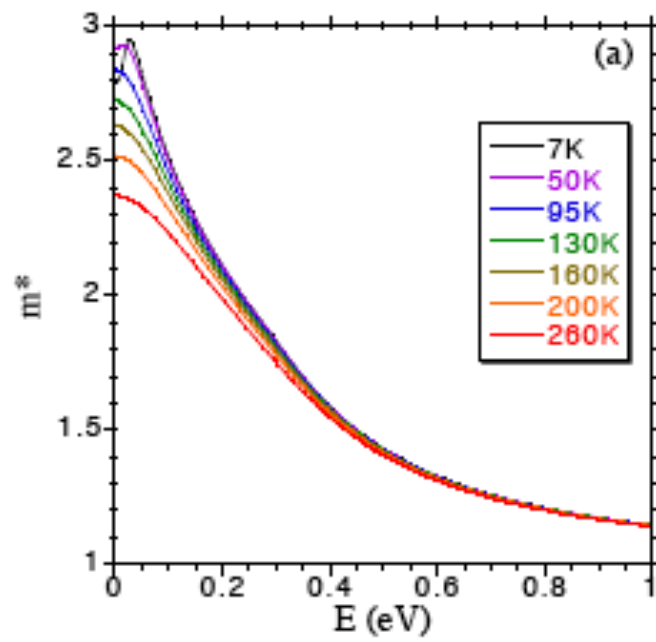


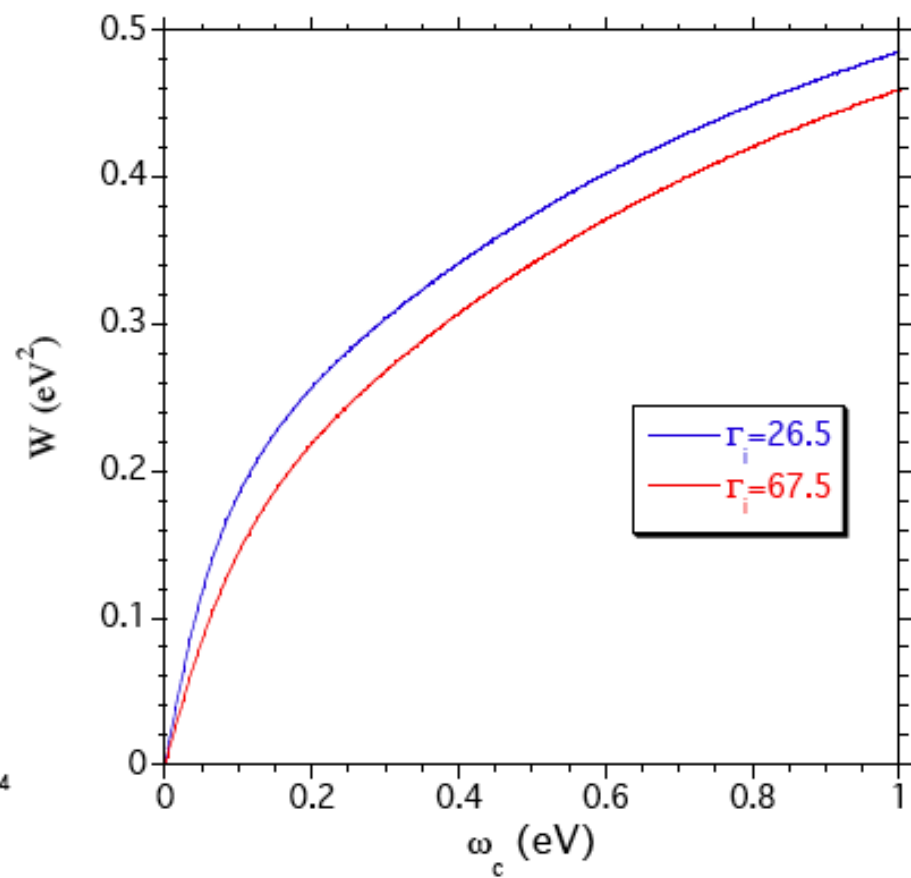
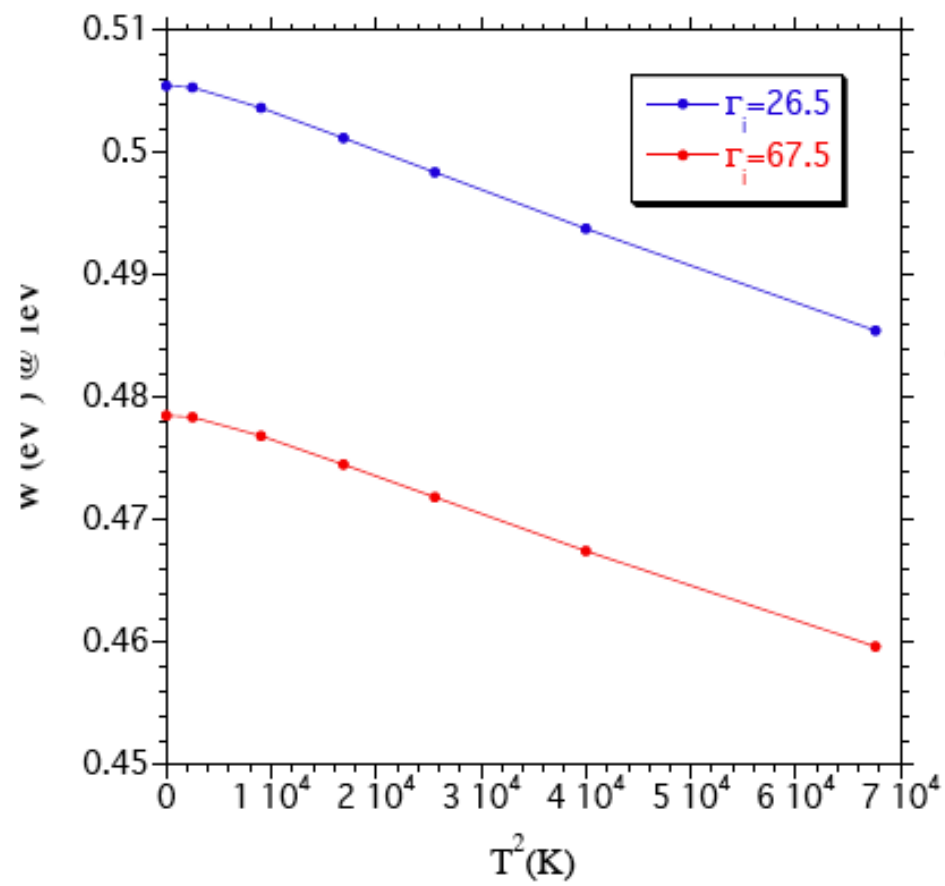


As a function of impurity scattering rate

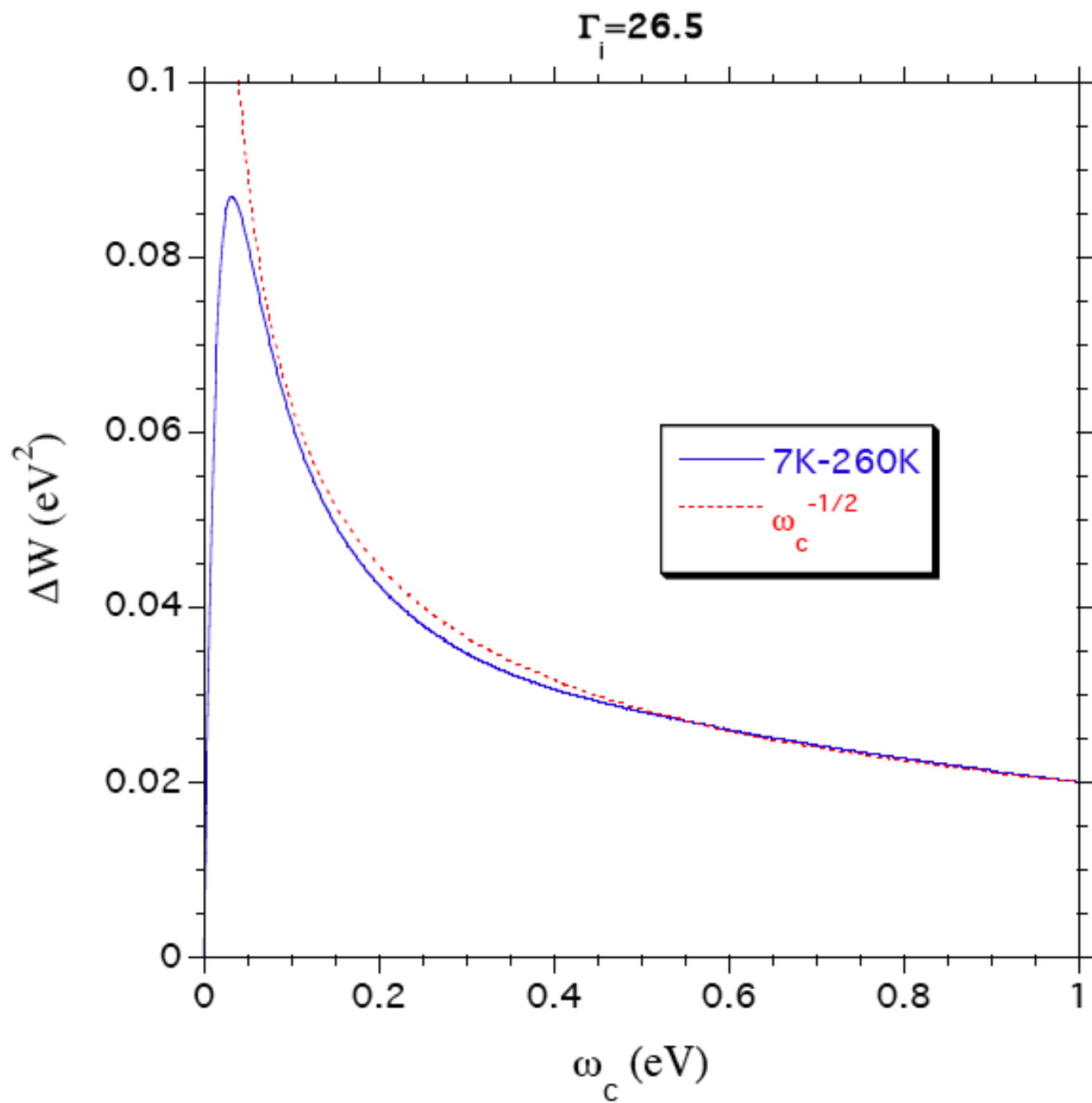


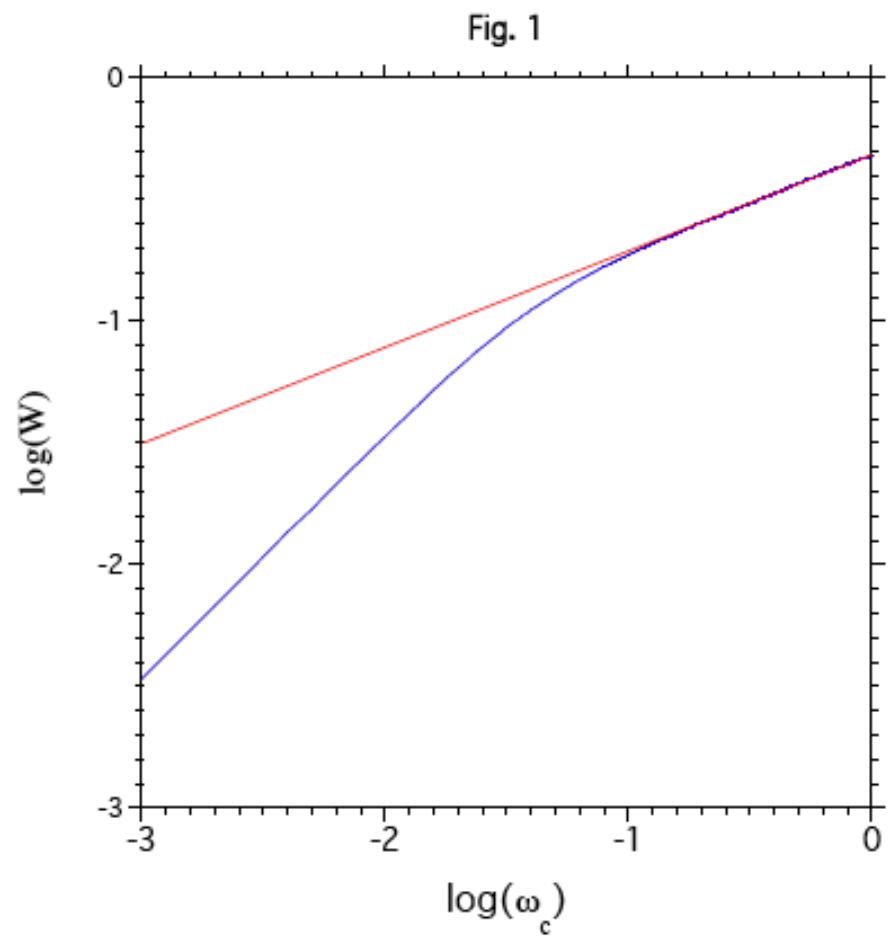
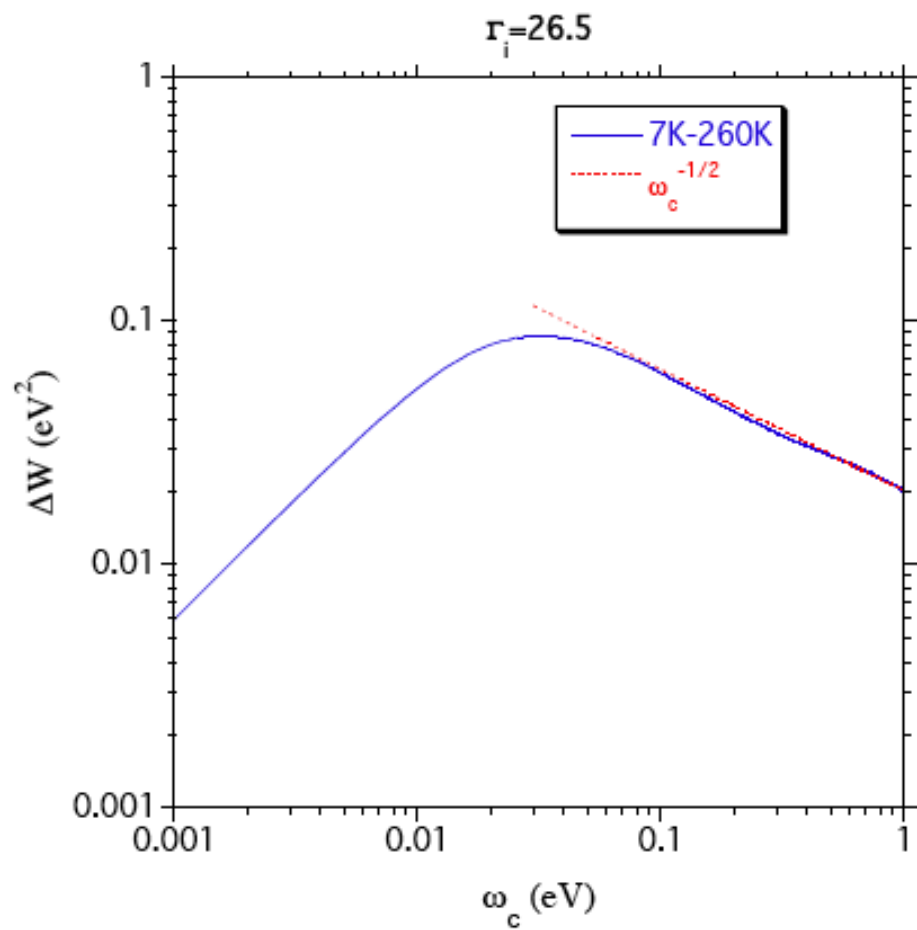
As a function of temperature

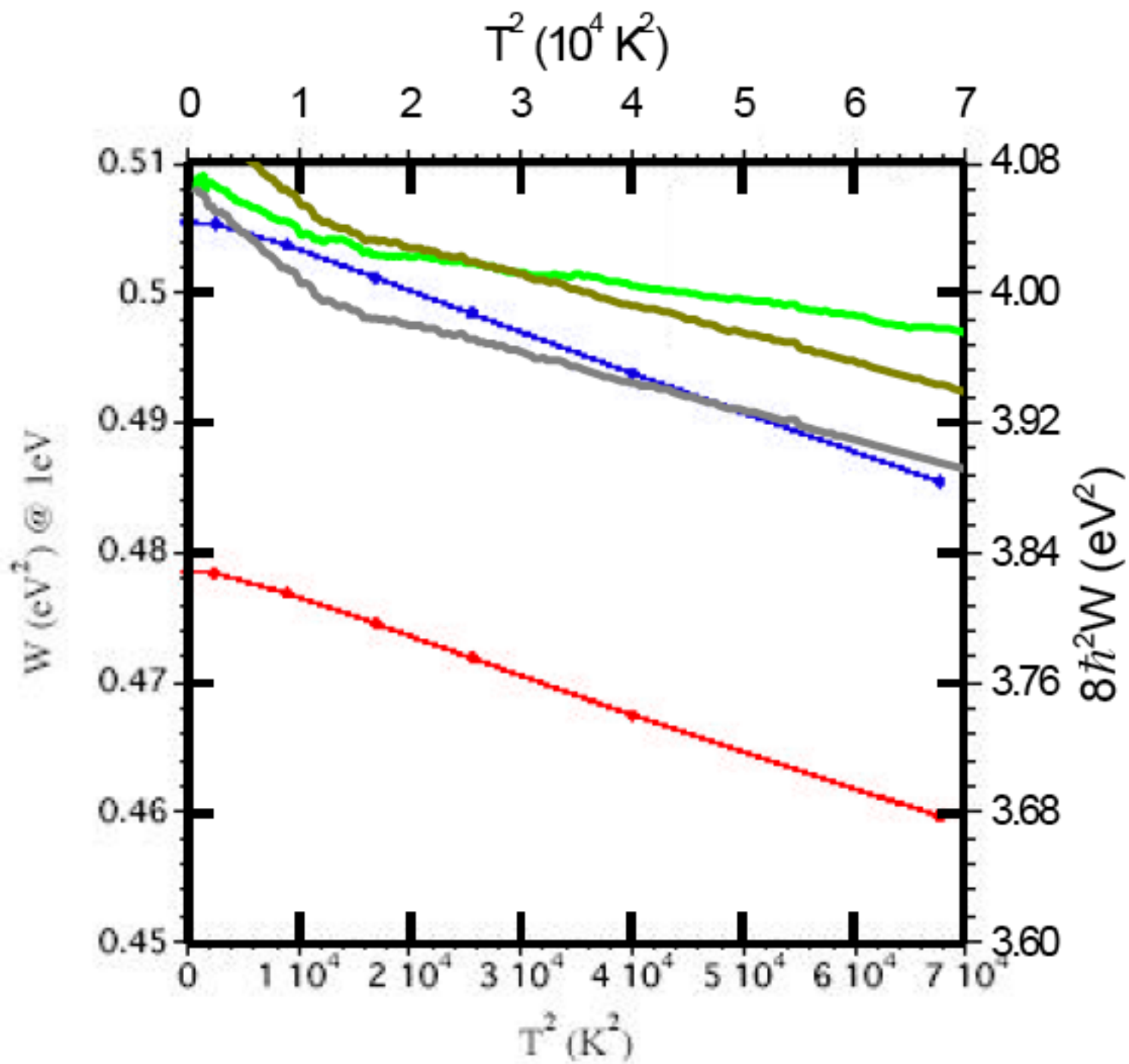


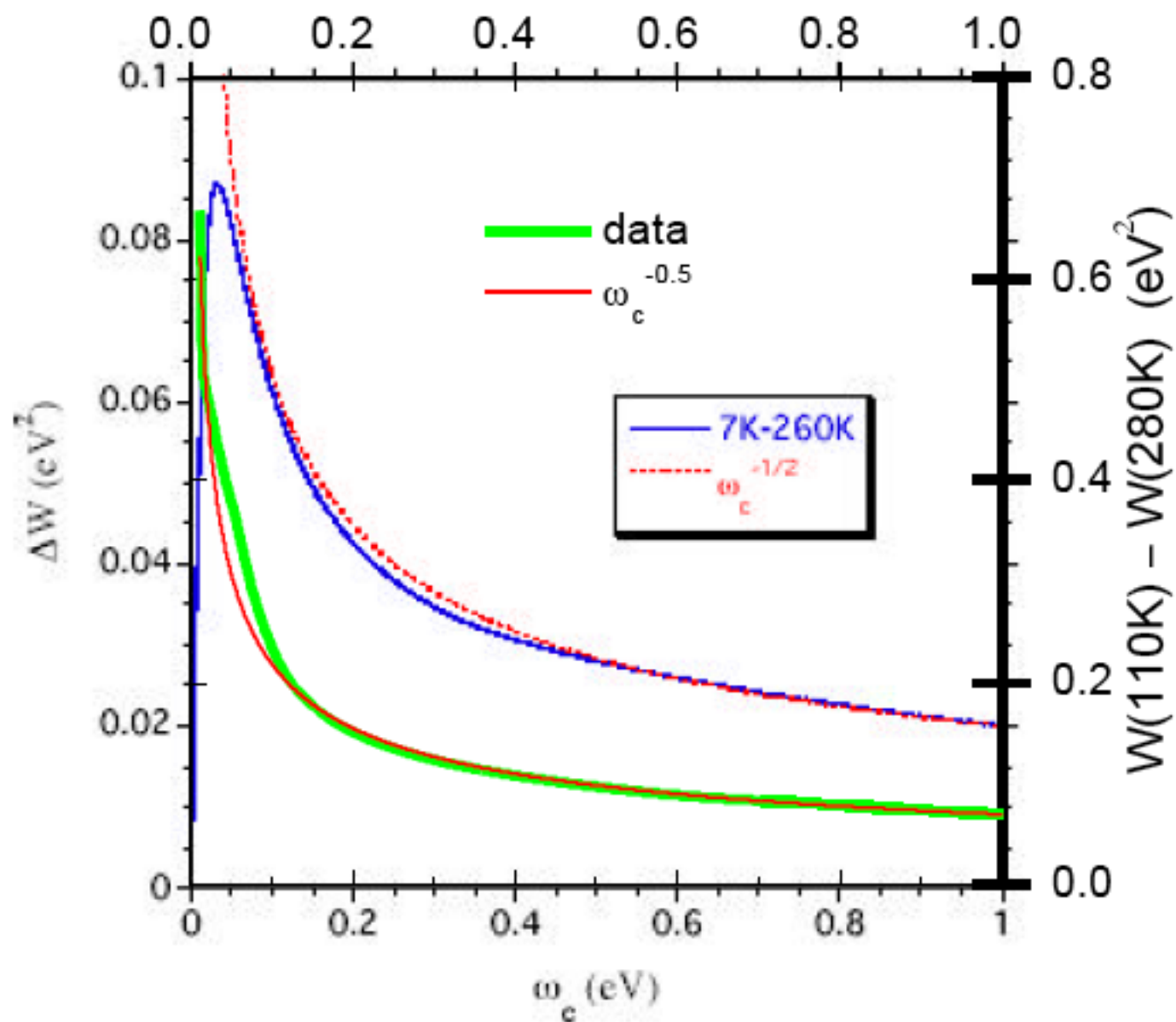


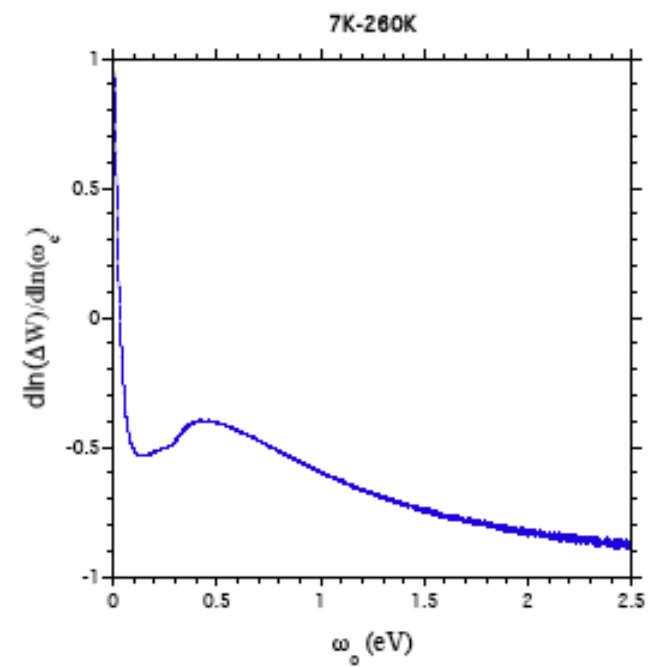
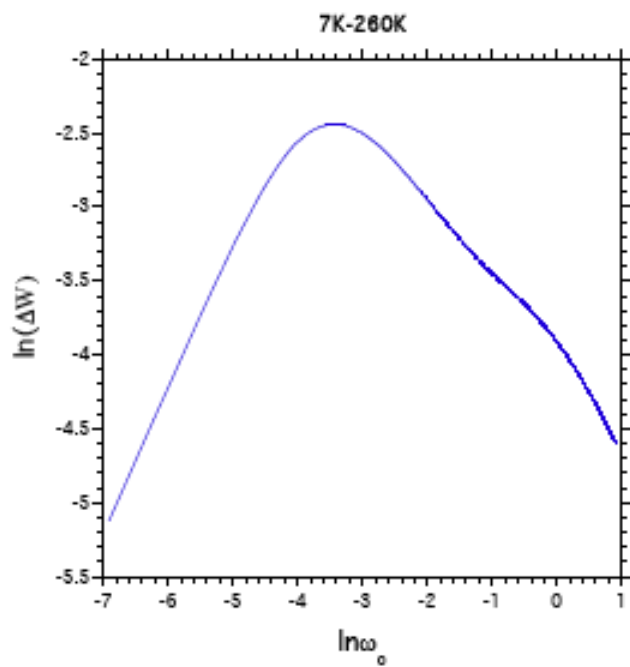
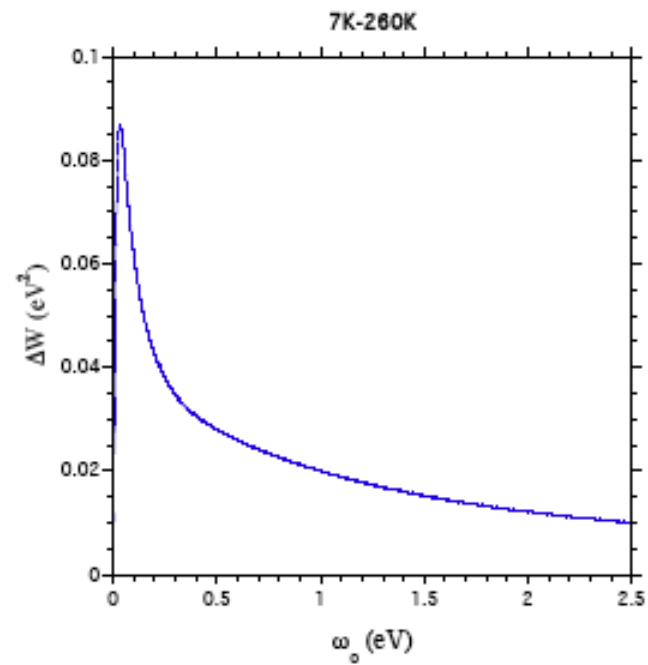
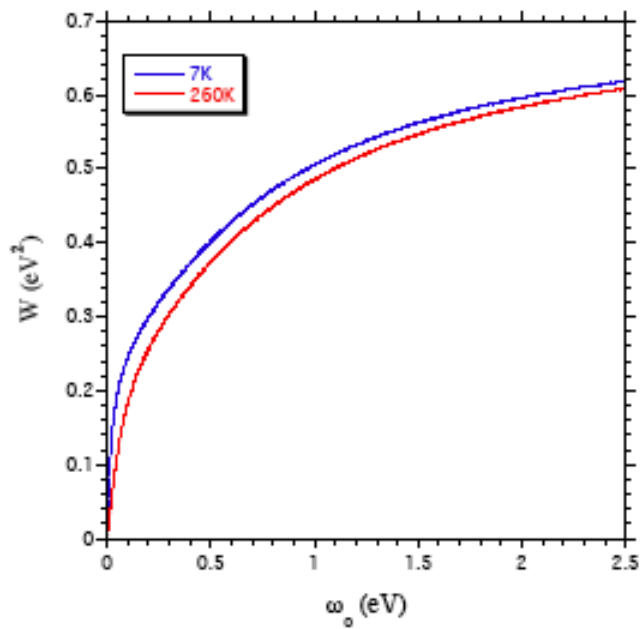










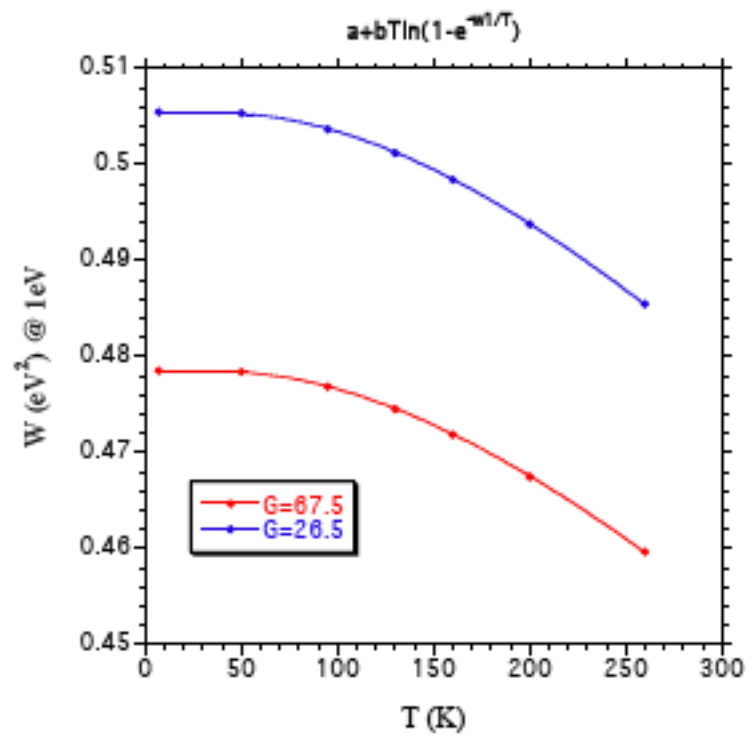
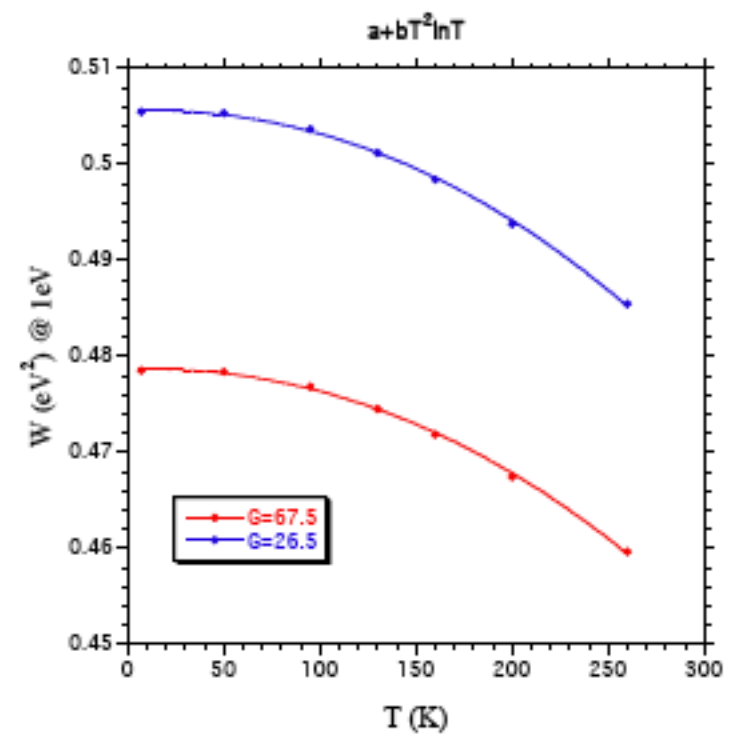
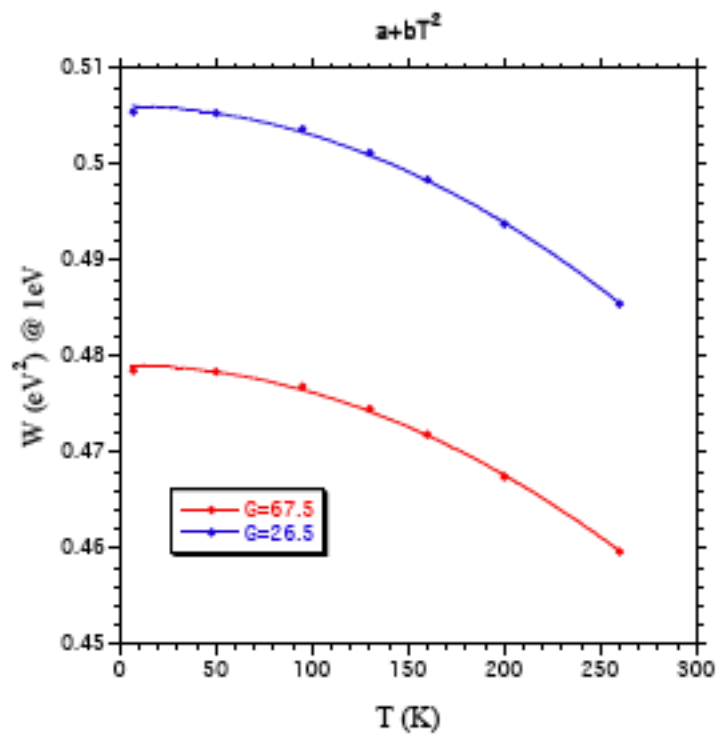


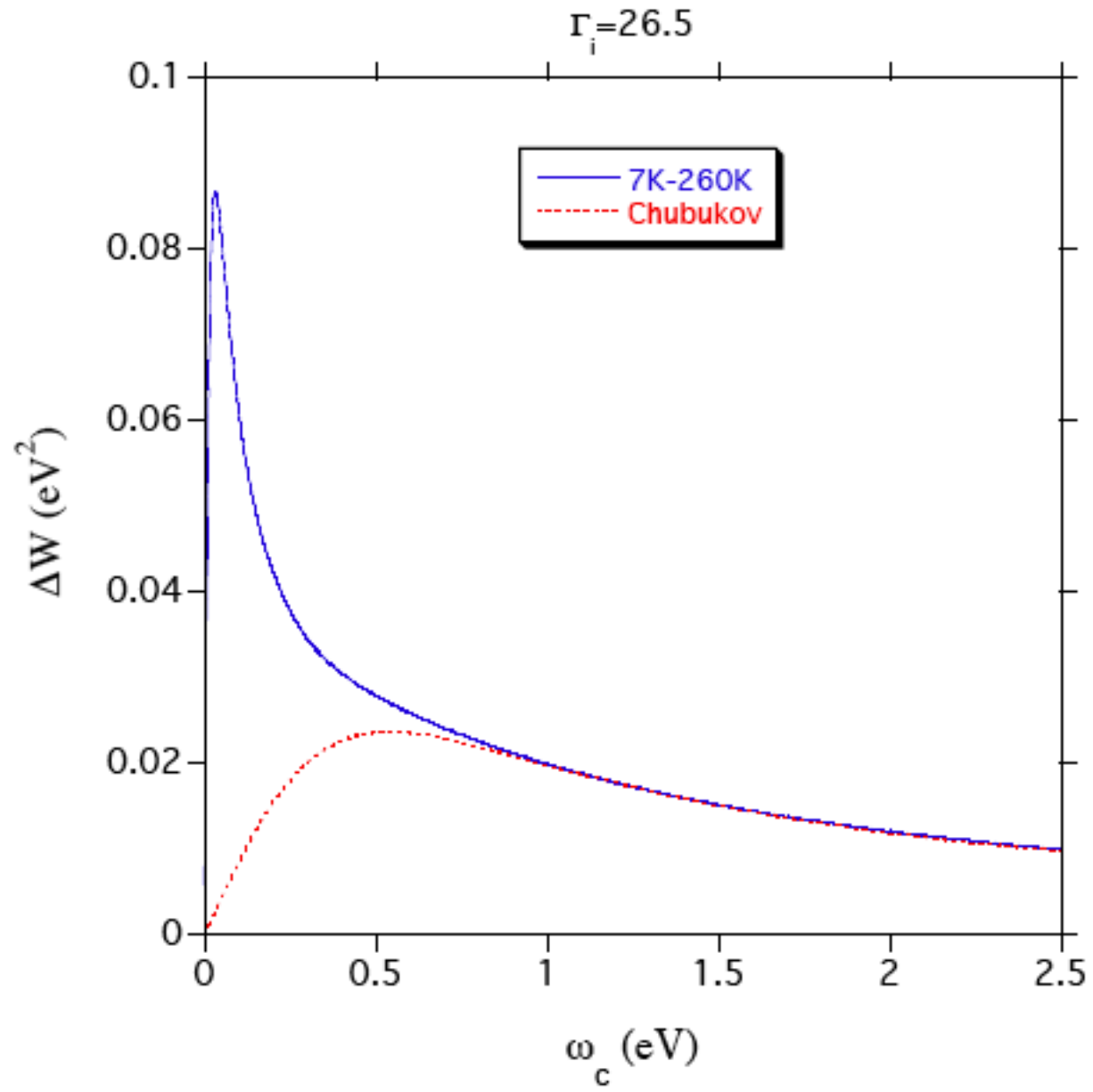
$$W(\Omega, T) = \text{const} - \int_{\Omega}^{\infty} \text{Re}\sigma(\omega, T) d\omega$$

$$1/\tau_{high} = 2\Gamma_i + \frac{\Gamma}{\omega_2 - \omega_1} \left( 4T \ln \frac{\sinh \frac{\omega_2}{2T}}{\sinh \frac{\omega_1}{2T}} - \frac{\omega_2^2 - \omega_1^2}{\omega} \right)$$

$$\tau_{high} = \tau_0 + (4T\Gamma\tau_0^2/\omega_2) * \log(1 - e^{-\omega_1/T})$$

$$\delta W(\Omega, T) = \frac{\omega_{pl}^2}{4\pi} \frac{2\omega_1}{\omega_2} T^* \log(1 - e^{-1/T^*}) \frac{\Omega^*}{1 + (\Omega^*)^2}$$







## CONCLUSIONS

Now, we implicitly assumed a quadratic dispersion, so  $E_K$  is technically conserved in our case

SO, THE MORAL OF THIS STORY IS

1.  $E_K$  and  $E_{\text{kinetic}}$  are in general ***not*** the same thing
2. An analysis of the cut-off behavior of  $E_K$  is necessary to determine whether there is a residual “violation” of  $\Delta E_K=0$